

SEC Rule 606 (formerly known as Rule 11Ac1-6)

Hampton Securities (USA), Inc.

SEC-Required Report on Routing of Customer Orders

Quarter Ending December 31, 2011

Hampton Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Hampton Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange; one for securities listed on the Nasdaq Stock Market; one for securities listed on the NYSE Amex, or regional exchanges; and one for exchange-listed options. For each section, this report identifies the venues most often selected by Hampton Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Hampton Securities' relationship with the venues if applicable.

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	20.00%
Limit orders as percentage of total non-directed orders	80.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. New York Stock Exchange	100.00%
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Information Concerning Significant Venues:

1. New York Stock Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100.00%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%

Securities Listed On The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	20.00%
Limit orders as percentage of total non-directed orders	80.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citigroup Global Markets, Inc.	100.00%
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Information Concerning Significant Venues:

1. Citigroup Global Markets, Inc...

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100.00%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	40.00%
Limit orders as percentage of total limit orders	60.00%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. UBS Securities, LLC	40.00%
2. NYSE Amex.	20.00%
3. National Financial Services LLC.	20.00%
4. Knight Capital Markets, LLC	20.00%

Information Concerning Significant Venues:

1. UBS Securities,LLC.

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	50.00%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	0.00%

2. NYSE Amex.

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	0.00%

3. National Financial Services LLC.

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	50.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

3. Knight Capital Markets, LLC.

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	0.00%

Exchange-Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

Disclosure Information:

Securities Listed on the New York Stock Exchange, the NYSE Amex, and the Regional Exchanges, the Nasdaq Stock Market and Exchange-Listed Options.

1. Hampton Securities (USA), Inc. (Hampton Securities) directs its order flow through the National Financial Services LLC trade system for all routing and execution to all the listed exchanges. Hampton Securities receives NO payments for directing order flow to National Financial Services LLC

Reports Disclaimer

In providing the Rule 606 Reports, Hampton Securities does not make or endorse any particular security or market participant.

The Reports are prepared with the intent of full and timely compliance of SEC Rule 606.

While Hampton Securities uses processes reasonably designed to assure the accuracy and completeness of the data underlying the reports, Hampton Securities does not guarantee the accuracy or completeness of the reported data.